

WIA and WP Performance Accountability Compliance Monitoring Guide
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WFC Name / Location: _____

WFC Staff Interviewed: _____

Monitor / Program Year / Date: _____

1. Resolution of Compliance Issues (Federal, State, And Local Laws, Regulations, Policies, and Grant Agreements)

Sources: WIA Sec 184		YES/NO	Comments Explain, if YES
A.	Does the region have unresolved compliance issues from the previous program year? If so, identify them and explain why they have not been resolved?	Y N	
B.	Does the region have a pattern of being unable to resolve compliance issues?	Y N	

2. 70% Expenditure Rate – First Year of WIA Funds

Sources:		YES/NO	Comments Explain, if YES
A.	Did the region fail to meet the first year 70% expenditure goal for one or more of its WIA programs or grants?	Y N	
B.	Did the region fail to meet the 70% goal for any WIA program or grant during the previous program year?	Y N	

3. 100% Expenditure Rate by End of Program/Grant Period of Performance

Sources:		YES/NO	Comments Explain, if YES
A.	Did the region have one or more programs or grants not meet the 100% expenditure requirement by the end of the program/grant year?	Y N	
B.	Did the region return unspent funds for any program or grant?	Y N	

4. Achievement of Planned Program Activity Goals

Sources: TEGL 17-05, TEGL 17-05 Change 1, PGL 13-08-P		YES/NO	Comments Explain, if NO
A.	Did the region meet + or - 15% of plan for enrollments, activities, and exit goals by the end of the program year or grant period of performance? If not, which program(s) (WIA/ WP formula and discretionary grants) missed their goals.	Y N	
B.	Did the region meet planned program goals during the previous program year? (WIA/ WP formula and discretionary grants)	Y N	

5. Achievement of Common Measures and Locally Defined Measures

Sources: WIA Sec 136, TEGL 17-05, TEGL 17-05 Change 1		YES/NO	Comments Explain, if NO
A.	Did the region meet or exceed (80% or above) all Common Measures and locally defined performance goals by the end of the program year? If not, identify those not met.	Y N	
B.	Did the region miss one or more Common Measures during the previous program year?	Y N	
C.	Is the region at risk of missing the same Common Measure for 2 consecutive years?	Y N	

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